

COUNSELLORS AT LAW
28 STATE STREET
BOSTON, MASSACHUSETTS 02109-1784
TELEPHONE (617) 227-7400
FAX (617) 742-4214
lc@lahive.com

JOHN A. LAHIVE, JR. (1928-1997)
THOMAS V. SMURZYNSKI
RALPH A. LOREN
GIULIO A. DeCONTI, JR.
ANN LAMPORT HAMMITTE
ELIZABETH A. HANLEY
AMY BAKER MANDRAGOURAS
ANTHONY A. LAURETANO
KEVIN J. CANNING
JANE E. REMILLARD
DeANN FORAN SMITH
PETER C. LAURO
JEANNE M. DIGIORGIO
DEBRA J. MILASINCIC, Ph.D.
DAVID J. RIKKERS
DAVID R. BURNS
JOHN S. CURRAN
SEAN D. DETWEILER

COPY OF ~~RECEIVED~~
ORIGINAL ~~FILED~~

CYNTHIA L. KANIK, Ph.D.
MEGAN E. WILLIAMS, Ph.D.
RICHA NAND
MICHAEL PHILLIPPS *
LISA M. DIROCCO
HATHAWAY P. RUSSELL ***

SENIOR COUNSEL
JAMES E. COCKFIELD

OF COUNSEL
JEREMIAH LYNCH
WILLIAM A. SCOFIELD, JR.

PATENT AGENTS
THEODORE R. WEST
SHAYNE Y. HUFF, Ph.D.
DANIEL B. KO

TECHNICAL SPECIALISTS
MARIA LACCOTRIPE ZACHARAKIS, Ph.D.**
CYNTHIA M. SOROOS
PETER W. DINI, Ph.D.
EUIHOON LEE
JENNIFER K. ROSENFELD
ALLAN TAMESHTIT, Ph.D.
CATHERINE E. McPHERSON
ERIC F. WAGNER, Ph.D.
SHAHID HASAN, Ph.D.
JACOB G. WEINTRAUB
PETER A. DIMATTIA
JONATHAN M. SPARKS, Ph.D.
CRISTIN E. HOWLEY, Ph.D.
VINCENT P. LOCCISANO
MERIDETH C. ARNOLD

* Admitted in NY only
** Passed the Patent Bar Examination
*** Admitted in TX only

December 14, 2001

Commissioner for Patents
Box Missing Parts
Washington, D.C. 20231

Re: U.S. Patent Application Serial No.: 09/819,104 /
Title: *Novel Nuclear Receptor Corepressor Molecules and Uses Therefor*
Filed: March 27, 2001
Inventor: J. Don Chen
Our Reference No.: UMG-030

Dear Sir:

I enclose herewith for filing in the above-identified non-provisional application the following:

1. Response to Notice to File Missing Parts of Non-Provisional Application Filed Under 37 CFR 1.53(b) Filing Date Granted (2 pages) (*in duplicate*);
2. Copy of Notice to File Missing Parts of Non-Provisional Application (*Formalities* Letter (2 pages));
3. One (1) executed Declaration, Petition and Power of Attorney (5 pages);
4. Request for Five (5) Month Extension of Time (1 page) (*in duplicate*);
5. Check in the amount of \$65.00 (surcharge);
6. Check in the amount of \$980.00 (five (5) month extension); and
7. An Acknowledgement Postcard.

Please charge any deficiencies and credit any overpayments to our Deposit Account No. 12-0080. The undersigned requests any extensions of time necessary to respond. *A duplicate of this sheet is enclosed.*

Certificate of First Class Mailing (37 CFR 1.8(a))

I hereby certify that this correspondence is deposited with the United States Postal Service as first class mail in an envelope addressed to Commissioner for Patents, Box Missing Parts, Washington, D.C. 20231 on:

December 14, 2001

Date

Peter C. Lauro, Esq.

Reg. No. 32,360

Respectfully submitted,

LAHIVE & COCKFIELD, LLP

Peter C. Lauro, Esq.
Registration No. 32,360
Attorney for Applicant



COPY OF PAPERS
ORIGINALLY FILED

THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of: J. Don Chen

Serial No.: 09/819,104

Filed: March 27, 2001

For: *Novel Nuclear Receptor Corepressor
Molecules and Uses Therefor*

Attorney Docket No.: UMG-030

Group Art Unit: 1645

Examiner: Not Assigned

Commissioner for Patents
Washington, D.C. 20231

Attention: Box Missing Parts

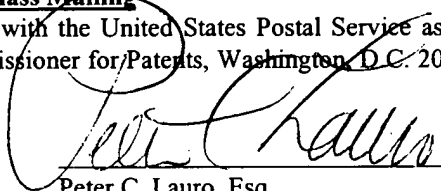
Certificate of First Class Mailing

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Box Missing Parts, Commissioner for Patents, Washington, D.C. 20231 on the date set forth below.

December 14, 2001

Date of Signature and of Mail Deposit

By:


Peter C. Lauro, Esq.
Reg. No. 32,360
Attorney for Applicant

**RESPONSE TO NOTICE TO FILE MISSING PARTS
OF NON-PROVISIONAL APPLICATION FILED UNDER 37 CFR 1.53(b)**

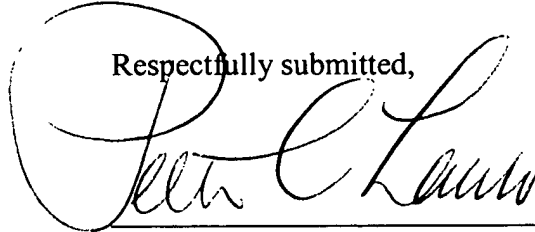
Dear Sir:

Responsive to the Notice to File Missing Parts of Non-Provisional Application Filed Under 37 CFR 1.53(b) dated May 14, 2001, Applicant's attorney submits herewith, one (1) executed Declaration, Petition and Power of Attorney. Also enclosed is a check in the amount of **\$65.00** to cover the surcharge owed by Applicant. A copy of the Notice to File Missing Parts of Nonprovisional Application is also enclosed.

Applicant also submits concurrently herewith a Request for a five-month extension of time and the requisite extension fee based on small entity status.

Please charge any additional fees and credit any overpayments associated with this correspondence to Deposit Account No. 12-0080. A duplicate of this letter is enclosed.

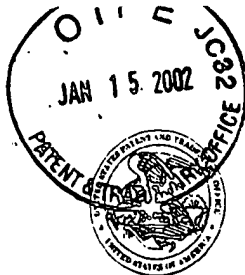
Respectfully submitted,

A handwritten signature in cursive script, appearing to read "Peter C. Lauro", written over a horizontal line.

Peter C. Lauro, Esq.
Registration No. 32,360
Attorney for Applicant

LAHIVE & COCKFIELD, LLP
28 State Street
Boston, MA 02109
Tel. (617) 227-7400

Dated: December 14, 2001



DOCKETED

COPY OF PAPERS
ORIGINALLY FILED

July 14, 2001 - Missing Parts & Surcharge ; *Seq. Listing*
Sub. DRGS.
Dec 14, 2001 - ESP W/EXT

COMMISSIONER FOR PATENTS
 STATES PATENT AND TRADEMARK OFFICE
 WASHINGTON, D.C. 20231
 www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/819,104	03/27/2001	J. Don Chen	UMG-030

CONFIRMATION NO. 4327

000959
 LAHIVE & COCKFIELD
 28 STATE STREET
 BOSTON, MA 02109

FORMALITIES LETTER

OC000000006072175

Date Mailed: 05/14/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

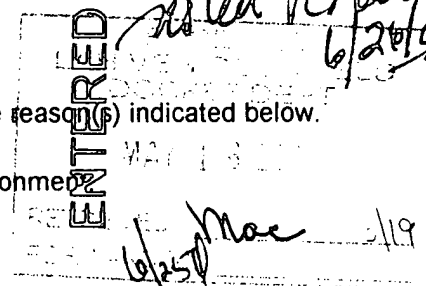
An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- o The oath or declaration is unsigned.
- o To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- o The balance due by applicant is \$ 65.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment.

- o Substitute drawings in compliance with 37 CFR 1.84 because:
 - o drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);
- o A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing." Applicant must provide a substitute computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d).



For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY